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9. (Amended) The process according to any one of Claims 6-8, wherein the crystallization step includes sub-steps of once cooling the liquid crystal material into a crystal phase and holding the liquid crystal material for a prescribed period at a temperature which is in proximity to a crystal-liquid crystal transition temperature within the crystal phase temperature range.

#### Remarks

The claims are 1, 2 and 5-9, with claims 1 and 6 being independent. Claims 3 and 4 have been cancelled without prejudice or disclaimer. Claims 1, 2 and 5-9 have been amended. Claims 1 and 6 have been amended to further clarify the invention. The amendments to these claims are fully supported in the claims as originally filed, as well as throughout the original specification (see, inter alia, page 4, lines 13-18, pages 8-11, and page 28, lines 10-14). The remainder of the claims have been amended as to formal matters only. Applicant submits that no new matter has been added. Reconsideration of the claims is respectfully requested.

Upon reviewing the present application, it became apparent that the specification required amendment in order to more closely conform with proper idiomatic English and to correct minor typographical errors. To that end, a substitute specification, a copy of which is attached, has been prepared. A copy of the marked-up original specification, indicating the changes that have been made, is also enclosed. No new matter has been added to the present application in the preparation of the substitute specification.

Claims 1-9 stand rejected under 35 U.S.C. §112, second paragraph. While Applicant respectfully traverses this indefiniteness rejection, Applicant has nevertheless

amended independent claims 1 and 6 to further clarify what is intended by the present invention. More particularly, Applicant has amended claim 1 to include the subject matter of cancelled claims 3 and 4, namely to set forth that the single-crystalline film comprises a smectic liquid crystal material having a molecular long axis and providing a uniform molecular alignment including a single direction of the molecular long axis in a smectic layer.

Applicant submits that no further limitations need be placed on the composition of the film in order to particularly point out and distinctly claim the subject matter which Applicant regards as the invention. In short, a single-crystalline film comprising any smectic liquid crystal material, which has a molecular long axis and which provides a uniform molecular alignment including a single direction of the molecular long axis in a smectic layer, will achieve the advantages of the present invention. Accordingly, Applicant submits that the claims as presently amended are sufficiently definite and therefore respectfully requests withdrawal of the §112 rejection.

Claims 1-9 stand rejected under 35 U.S.C. §102(e) as being anticipated by Satoh (U.S. Patent No. 6,294,229). Applicant respectfully traverses this rejection.

All of the previously presented arguments regarding Satoh's failure to anticipate the present invention are incorporated by reference herein. Those arguments, which maintain that the anisotropic liquid crystalline film of Satoh does not, in any way, anticipate the single-crystalline film of the present invention, in conjunction with the following, clearly demonstrate Satoh's inadequacies as an anticipatory reference. The disclosure of Satoh, in pertinent part, is best described as follows:

The liquid crystalline composition used in the present invention possesses the following properties:

1 Does not have any smectic phase or crystal phase below the nematic phase temperature region.

2 Even if the liquid crystalline composition has a smectic or crystal phase latently, the smectic or crystal phase does not appear at the time of cooling.

. . . and a liquid crystal orientation, preferably a nematic hybrid orientation, of a completely monodomain can be fixed. (column 18, lines 19-35)

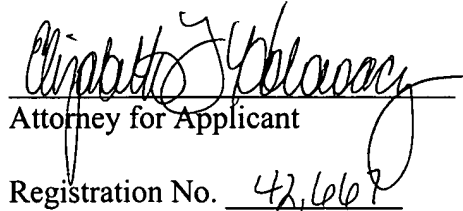
It is clear that Satoh completely negates the formation of a crystal phase, and therefore the formation of a single-crystalline film in a crystal phase. Furthermore, it is well known that a nematic phase has a substantially lower molecular alignment order than a smectic phase, which in turn has a substantially lower molecular alignment order than a crystal phase.

In sum, Satoh does not, in any way, anticipate the single-crystalline film or production method thereof as presently claimed. Satoh simply does not teach the use of a smectic liquid crystal material having a molecular long axis and providing a uniform molecular alignment including a single direction of the molecular long axis in a smectic layer; likewise, Satoh does not teach a single-crystalline film having uniform molecular alignment provided through phase transition including a smectic phase. Accordingly, Applicant respectfully requests withdrawal of the §102 rejection.

Wherefore, reconsideration of the rejected claims is respectfully requested as is the expeditious allowance of all pending claims in light of the foregoing amendments and remarks in support of patentability. If any issues remain, the Examiner is invited to call the Applicant's undersigned attorney to discuss matters further.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
Attorney for Applicant  
Registration No. 42,668

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200



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TECH CENTER 1600/2900

Application No. 09/651,244  
Attorney Docket No. 00684.003060

VERSION SHOWING CHANGES MADE TO CLAIMS

1. (Twice Amended) A single-crystalline film having a thickness, said single-crystalline film retaining a single crystal state having uniform molecular crystalline alignment over the thickness provided through phase transition from a liquid crystal phase including a smectic phase, said single-crystalline film comprising a smectic liquid crystal material having a molecular long axis and providing a uniform molecular alignment including a single direction of the molecular long axis in a smectic layer.

2. (Amended) [A] The single-crystalline film according to Claim 1, wherein the liquid crystal phase includes a lower order liquid crystal phase and a higher order liquid crystal phase.

Claim 3 has been cancelled.

Claim 4 has been cancelled.

5. (Amended) [A] The single-crystalline film according to Claim [4] 1, wherein the smectic liquid crystal material has a molecular structure which is symmetrical with respect to [its] the molecular long axis.

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6. (Twice Amended) A process for producing a single-crystalline film[,] comprising the steps of:

[a step of] disposing a smectic liquid crystal material, having a molecular long axis and exhibiting a uniform molecular alignment including a single direction of the molecular long axis in a smectic layer, between a pair of boundaries having a thickness, and

cooling and solidifying the smectic liquid crystal material through its smectic phase into a single-crystalline film, [and]

wherein said smectic liquid crystal [retaining] retains a single crystal state having a uniform molecular crystalline alignment over the thickness.

7. (Amended) [A] The process according to Claim 6, wherein the smectic liquid crystal material has a molecular structure which is symmetrical with respect to [its] the molecular long axis.

8. (Amended) [A] The process according to Claim 6, wherein the crystallization step includes sub-steps of once forming a [poly-crystal] polycrystal state by causing phase transition from a liquid crystal phase and transforming the polycrystal state into a single crystal state.

9. (Amended) [A] The process according to any one of Claims 6 - 8, wherein the crystallization step includes sub-steps of once cooling the liquid crystal

material into a crystal phase and holding the liquid crystal material for a prescribed period at a temperature which is in proximity to a crystal-liquid crystal transition temperature within the crystal phase temperature range.

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# SINGLE-CRYSTALLINE FILM

## AND PROCESS FOR PRODUCTION THEREOF

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BACKGROUND

→ FIELD OF THE INVENTION AND RELATED ART

TECH CENTER 1600/2900

5           The present invention relates to a molecular  
single-crystalline film (which herein refers to a film  
having a thickness of at most ca. 100  $\mu\text{m}$  and having a  
portion which retains a single crystal state having a  
uniform molecular crystalline alignment over the  
10 thickness and over an areal extension including a side  
length of at least 10 times the thickness, i.e., an  
areal size <sup>useful</sup> ~~utilizable~~ as a functional film, preferably  
an areal size of at least 50  $\mu\text{m}$  x 50  $\mu\text{m}$ ) <sup>the</sup> and a  
process for <sup>the</sup> production thereof.

15           A molecular crystal can be expected <sup>to be</sup> ~~as~~ a  
useful device material, such as a superconducting  
material, an effective photoconductor or a gas sensor,  
because of its electrical and geometrical structure  
and packing state. As the process for <sup>the</sup> production  
20 thereof, growth in a solution and growth in a molten  
state have been generally practiced. According to any  
of such processes, however, it is difficult to obtain  
a thin film of single crystal by suppressing <sup>the</sup> ~~an~~ increase in  
thickness ~~increase~~, and this poses an obstacle against  
25 using it as a functional layer in devices which have a  
laminar structure in many cases. As another process,  
there is known a gas phase deposition process, by

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which, however, it is difficult to prepare a uniform film due to affection by the gas phase deposition boundary.

On the other hand, ~~it has been reported to~~ <sup>has been reportedly improved</sup>  
5 ~~improve the~~ carrier transportation performance<sup>g</sup> by  
utilizing a molecular alignment in a higher-order  
liquid crystal phase of SmB or SmE (<sup>g</sup>"Ohyou Butsuri,  
(<sup>g</sup>Applied Physics)<sup>g</sup>, Vol. 68, No. 1, pp. 26 - 32  
(1999)). In this report, a higher speed  
10 transportation of electrons and holes has been aimed  
at by utilization of alignment order in a higher-order<sup>g</sup>  
liquid crystal phase. The improvement in high-speed<sup>g</sup>  
transportation performance has been considered  
attributable to the formation of flow paths for  
15 electrons and holes due to regular packing of aromatic  
rings in the higher-order<sup>g</sup> smectic phase alignment.  
This performance has been also noted as a carrier  
transportation layer in EL devices, and ~~a~~ further  
improvement is expected.

20 Regardless of whether it is a liquid crystal  
or a (solid) crystal (herein a term "crystal" without  
further notation is used to mean a solid crystal), the  
film thereof is required to assume a single crystal  
state free from defects (i.e., free from carrier  
25 traps) in order to function as a functional layer as  
mentioned above.

Then, if a (solid) single-crystalline film

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can be obtained, it is expected to achieve a higher speed and higher density carrier transportation because of a higher degree of order and a closer packing of molecules than a liquid crystal film.

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→ SUMMARY OF THE INVENTION ←

In view of the above-mentioned circumstances, a principal object of the present invention is to provide a molecular single-crystalline film <sup>usable</sup> in a device <sup>the</sup> and a process for effective production thereof.

In order to achieve the above-mentioned object, it may be conceived to form a liquid crystal material layer of which the thickness is regulated between a pair of boundaries at a higher temperature and cool the liquid crystal material layer to room temperature, thereby forming a crystal layer wherein the molecular alignment is fixed. As a result of my study, however, such a crystal film obtained through the above-described process, in general, can only form a poly<sup>cr</sup>crystalline film and fails to provide a single-crystalline film. This is <sup>believed to be due to</sup> ~~considered because~~ a strain or disclination in a domain relaxed in a liquid crystal phase because of fluidity or flexibility of the liquid crystal phase, <sup>which</sup> ~~is developed to cause~~ precipitation of crystallites or polycrystallization during ~~the~~ crystallization.

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As a result of my further study, it has been discovered that a liquid crystal layer of a certain class of liquid crystal having a better regularity formed in a thickness regulated by a pair of boundaries can be phase-transformed into a single-crystalline film while remarkably suppressing the polycrystallization.

Based on the above discovery, according to a first aspect of the present invention, there is provided a single-crystalline film having a molecular alignment order provided through phase transition from a liquid crystal phase.

I have also discovered a smectic liquid crystal material exhibiting a uniform (i.e., a single mode of) molecular alignment inclusive of a director (i.e., molecular long-axis) direction in a smectic layer as a suitable material as the above-mentioned liquid crystal material having a better regularity.

Based on the discovery, according to a second aspect of the present invention, there is provided a process for producing a single-crystalline film, comprising <sup>a step of</sup> ~~a step of~~ disposing a smectic liquid crystal material exhibiting a uniform molecular alignment in a smectic layer between a pair of boundaries having a thickness regulation function, and a crystallization step of cooling and

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solidifying the smectic liquid crystal material through its smectic phase into a single-crystalline film.

A suitable example of such a smectic liquid  
5 crystal material is one having a molecular structure, which is symmetrical with respect to its molecular long<sup>l</sup>-axis direction. The molecular structure of such a smectic liquid crystal may be represented as a so-called head-head structure, and the mode of molecular  
10 lamination alignment thereof in a smectic layer is only one, i.e., cannot be other than stacking of head-head molecules, so that it does not readily result in crystal defects at the time of phase transition into the crystal. In contrast thereto, while many higher<sup>l</sup>  
15 temperature smectic liquid crystal materials, i.e., liquid crystal materials having a smectic phase at an elevated temperature, have been known, most of them have a molecular structure which is asymmetrical with respect to the molecular long<sup>l</sup>-axis direction and may  
20 be represented as a so-called head-tail structure. It is considered that such molecules are stacked in a random manner, inclusive of head-tail, tail-head, ..., to form a smectic layer, so that many crystal defects are liable to occur at the time of phase transition  
25 into crystal, thus providing a polycrystalline film.

These and other objects, features and advantages of the present invention will become more

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apparent upon a consideration of the following description of the preferred embodiments of the present invention taken in conjunction with the accompanying drawings.

5

→ BRIEF DESCRIPTION OF THE DRAWINGS ←

Figure 1 is a schematic thicknesswise sectional view illustrating a basic structure of a cell used for formation therein of a single-  
10 crystalline film according to the invention.

Figure 2 illustrates a deviation in alignment of aromatic rings in a random stacking of head-tail molecules.

Figure 3 illustrates a better overlapping of  
15 aromatic rings in a stacking of head-head molecules.

Figure 4 is a polarization microscope photograph (x100) of nematic phase (130 °C) in a cell of Example 1.

Figure 5 is a polarization microscope  
20 photograph of smectic C phase (123 °C) in the cell of Example 1.

Figure 6 is a polarization microscope photograph of crystal phase (30 °C) in the cell of Example 1.

25 Figures 7 and 8 are polarization microscope photographs of states after 1 minute and 10 minutes, respectively, held at 118 °C in a cell of Example 2.

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Figure 9 is a schematic view based on a sketch of the photograph of Figure 6.

Figure 10 illustrates an outline of an <sup>x</sup>X-ray diffraction apparatus for examining the crystallinity of a film in a sample cell.

Figure 11 is a graph showing <sup>x</sup>X-ray diffraction patterns (at an <sup>x</sup>X-ray incidence angle  $\alpha = 95 \text{ deg}^{\text{ee}}$ ) of a single crystal portion (s-crystal) and a polycrystal portion (p-crystal), respectively, in a cell of Example 1A.

Figures 12 and 13 are graphs showing changes of <sup>x</sup>X-ray diffraction patterns with variation of incidence angles ( $\alpha = 80 - 110 \text{ deg}^{\text{ee}}$ ) of the single crystal portion (s-crystal) and polycrystal portion (p-crystal), respectively, in the cell of Example 1A.

Figure 14 is a polarizing microscope photograph (x150) showing the crystallinity of a film in a cell of Example 2A.

Figure 15 is a polarizing microscope photograph (x75) showing the crystallinity of a film in a cell of Example 5.

#### → DETAILED DESCRIPTION OF THE INVENTION ←

According to an embodiment, a single-crystalline film according to the present invention may be prepared in a <sup>all</sup>structure of ~~cell~~ (device) as illustrated in <sup>the</sup> ~~a~~ schematic sectional view of Figure 1,

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which is at a glance similar to that of a conventional liquid crystal cell.

Referring to Figure 1, the cell structure includes a pair of glass substrates 1a and 1b having thereon transparent electrodes 22a and 22b, respectively, of ITO (indium tin oxide), etc., and alignment control films 23a and 23b, respectively, of 50 to 1000 Å-thick polyimide film, etc., disposed opposite to each other with a gap therebetween determined by a spacer 12 disposed therebetween, and a single-crystalline film 13 formed between the substrates. More specifically, for the preparation, a blank cell structure excluding the single-crystalline film 13 may be prepared first similarly as in the preparation of an ordinary liquid crystal cell, a liquid crystal material showing fluidity by heating may be injected into the cell to seal up a liquid crystal layer 13 in the cell, and the liquid crystal layer 13 may be gradually cooled to form a single-crystalline film 13.

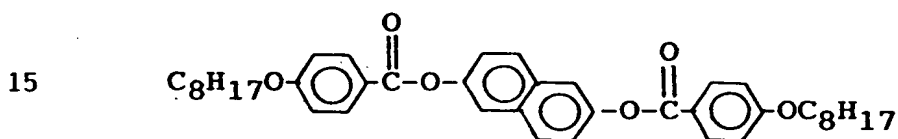
As described above, the liquid crystal material constituting the film 13 is required to have a liquid crystal phase having a good regularity. An example thereof is a smectic liquid crystal material providing a uniform molecular alignment in a smectic layer, and a suitable example is a smectic liquid crystal material having a molecular structure which is

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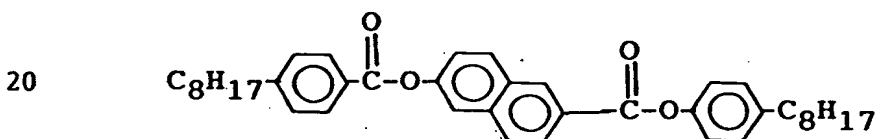
symmetrical with respect to the molecular long axis. Specific examples thereof may include smectic liquid crystal materials including a material used in the Examples described hereinafter and represented by the following general formula (1):



wherein M1 denotes a laterally symmetrical mesogen (i.e., mesomorphic core) unit, and R1 denotes a terminal chain group, such as an alkyl or an alkoxy group suitable for providing a smectic liquid crystal phase. Specific examples of smectic liquid crystal materials represented by the formula (1) may include the following compounds:



234.4      141      107  
Iso  $\xrightarrow{\quad}$  N  $\xrightarrow{\quad}$  SmC  $\xrightarrow{\quad}$  Crystal



213.7      133.6  
Iso  $\xrightarrow{\quad}$  SmA  $\xrightarrow{\quad}$  (SmC)  $\xrightarrow{\quad}$  Crystal

As described above, an asymmetrical smectic liquid crystal material as represented by a formula (2) below:



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wherein M2 denotes a mesogen unit, and R2 and R3 denote mutually different terminal chain groups suitable for providing a smectic liquid crystal phase, is not generally suitable for the object of the present invention. However, it is possible to use a kind of smectic liquid crystal material, such as one characterized by, e.g., a combination wherein R2 is an alkyl(oxy) chain, and R3 is a group which is repulsive from R2 and per se shows a strong aggregatability, such as a fluoroalkyl(oxy) chain, in the present invention, because such a smectic liquid crystal material can form a stack of uniformly aligned molecules wherein the groups R2 are directed along one side and the groups R3 are directed along the other side of a smectic layer, thus consequently providing a stack of uniformly aligned molecules R2-M2-R3 in a smectic layer and being less liable to form crystal defects at the time of crystallization.

A liquid crystal material having a uniform molecular alignment in a smectic layer advantageously affects the properties of the resultant single-crystalline film. More specifically, in the case of an alignment wherein a head-tail molecule and a tail-head molecule are stacked at random, the resultant single-crystalline film, even if formed as such, is caused to include a shift of aromatic rings constituting the liquid crystal material as shown in

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Figure 2. In contrast thereto, in a single-crystalline fine formed by <sup>the</sup> stacking of molecules having a symmetrical structure, such as ~~a~~ head-head, it becomes possible to obtain better electrical and optical properties attributable to overlapping of  $\pi$ -electrons as illustrated in Figure 3.

As the single-crystalline film of the present invention is provided with a molecular alignment order through phase transition from a liquid crystal phase, it is preferred that the liquid crystal material used in the present invention has at least one liquid crystal phase at a higher temperature region than room temperature and is cooled to provide a stable crystal film at room temperature. It is further preferred that the liquid crystal material used has two or more liquid crystal phases and is caused to enhance the alignment order in the course of cooling from a lower order of liquid crystal phase to a higher order of liquid crystal phase and be crystallized into a single-crystalline film as a result of <sup>a</sup> further higher degree of order~~y~~. A preferred example of phase transition series to be assumed by the liquid crystal material may include the following:

Cryst - SmC - N - Iso.

The single-crystalline film 13 may have a thickness which can be arbitrarily set within a range of, e.g., 100 nm - 100  $\mu$ m, preferably ca. 1 - 10  $\mu$ m,

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depending on the function of the film in the device including the film.

The cooling speed for formation of the single-crystalline film may preferably be at most 10 °C/min<sup>g</sup>, more preferably at most 5 °C/min, particularly preferably ca. 1 - 3 °C/min<sup>g</sup>, while it can depend on the thickness of the film formed.

By selection of an appropriate liquid crystal material, the single-crystalline film 13 can be formed through a single course of cooling from such a liquid crystal phase (as shown in Example 1 described hereinafter). However, in order to obtain a single-crystalline film having a better crystallinity and/or including a broader area of single crystal region, it is also preferred to include an operation of reheating a once-formed single-crystalline film again to a crystal region temperature which is close to the liquid crystal - crystal transition temperature, preferably in a range of the transition temperature - 10 °C, more preferably in a range of the transition temperature - 3 °C and holding the film at that temperature for a prescribed period of ca. 0.5 - .5 hours. As a result of such an operation, it becomes possible to convert a polycrystalline region remaining in the once-formed single-crystalline film or cause the once-formed single crystal region to grow into a broader region. Incidentally, the holding at a

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crystalline region temperature in the vicinity of the liquid crystal - crystal phase transition temperature can be performed immediately after transition from the liquid crystal phase to the crystal phase, without  
5 once cooling to room temperature (as shown in Example 2 described later). In any case, it is possible to obtain a single-crystalline film having a better single crystallinity by cooling to room temperature after the holding.

10 In the embodiment of Figure 1, the thickness of the film 13 is regulated by the bead spacer 12. It has been confirmed that the presence of such bead spacer 12 does not adversely affect the single crystallinity of the resultant film 13 up to ca. 20  
15  $\mu\text{m}$  of the thickness. While it depends on the area of the film 13, in order to form a thickener film, the bead spacer can be omitted or replaced by a stripe spacer.

Incidentally, as will be understood from the  
20 <sup>e</sup> Examples described later, the transparent electrodes 22a and 22b are unnecessary simply for the purpose of formation of a single-crystalline film, but the crystallization can be performed under application of a voltage as desired. Further, at least in the case  
25 of using a smectic liquid crystal material having a symmetrical molecular structure as represented by the above-mentioned formula (1) and used in the following

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<sup>e</sup> Examples, the presence or absence of alignment films 23a and 23b, or the presence or absence of a uniaxial aligning treatment, such as rubbing, for the alignment films 23a and 23b, does not essentially affect the formation of a single-crystalline film 13. Accordingly, such alignment films 23a and 23b can be omitted, as desired. Thus, the surfaces of a pair of substrates 1a and 1b contacting the liquid crystal layer 13 injected into the cell can essentially comprise any arbitrary material capable of providing a pair of boundaries for converting the liquid crystal layer 13 into a single crystal while <sup>maintaining</sup> ~~regulating~~ the thickness of the liquid crystal layer 13 ~~at~~ constant.

However, depending on the liquid crystal material used, it is possible to positively utilize the alignment control force of a boundary for aligning liquid crystal molecules perpendicular to, parallel to, or inclined at a desired inclination to the boundary and utilize the alignment order for formation of a single-crystalline film in the crystal phase of a higher degree of order.

As is understood from the above description, a substantial latitude is left regarding the materials constituting a pair of boundaries contacting the liquid crystal layer 13. Accordingly, in the case of using the single-crystalline film 13 in the above embodiment, e.g., as a hole-transporting layer in an

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EL device, similarly <sup>to</sup> ~~as~~ a liquid crystal film described in the above-mentioned document (<sup>g</sup>Ohyou Butsuri <sup>e</sup>(Applied Physics)<sup>n</sup>, vol. 68, No. 1, pp. 26 - 32 (1999)), the ITO 22a, 22b and the alignment films  
5 23a, 23b can be replaced by functional layers including a pair of EL device electrodes and an EL luminescent layer. Such an EL device of a closed structure including a single-crystalline film of the present invention is remarkably preferable in view of,  
10 e.g., <sup>a</sup> low moisture resistance of EL-luminescent materials.

Further, as another example of <sup>an</sup> ~~the~~ application utilizing a broad latitude of structural materials for providing the boundaries, it is possible to provide a  
15 cell including flexible substrates. More specifically, by utilizing a pair of flexible polymer films for the substrates 1a and 1b in the device having the organization shown in Figure 1, it is possible to form a single-crystalline film device  
20 which is flexible as a whole. A pair of such polymer films sandwiching a liquid crystal material may be readily formed into a cell by heat-sealing ~~of~~ the polymer films. The resultant film device may be disposed along an arbitrarily curved surface of a  
25 substrate, e.g., by application thereof over the entirety or a part of the circumference of a cylindrical surface or on a substrate surface having

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an arbitrary curvature. Such a substrate having a curved surface may preferably be composed of, e.g., a metal having a good thermal conductivity. As a result, a film device once disposed on a curved surface of<sup>a</sup> substrate may be elevated to an appropriate crystallization temperature, held for a prescribed period at that temperature and then gradually cooled to room temperature, whereby the film in the film device can be converted into a single-crystalline film which per se is in the curved state, thus providing a curved single-crystalline film device.

As is shown in the above-described embodiments, a film formed in situ and incorporated in a cell structure is a preferred embodiment of the single-crystalline film according to the present invention. Depending on a required function thereof, however, such a single-crystalline film according to the present invention formed in situ in a cell structure can be used in a form isolated from such a cell structure or in a form laminated with another functional layer by transferring from such a cell structure.

[Examples]

Hereinbelow, the present invention will be described more specifically based on <sup>e</sup>Examples.

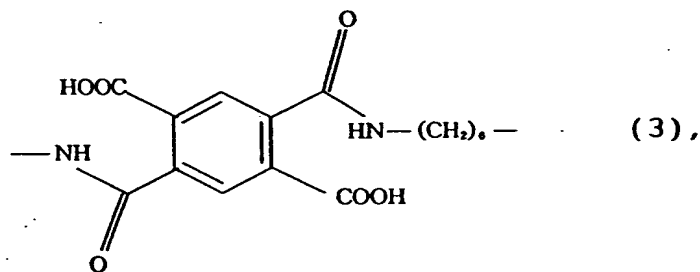
(Example 1)

A cell having a layer structure schematically

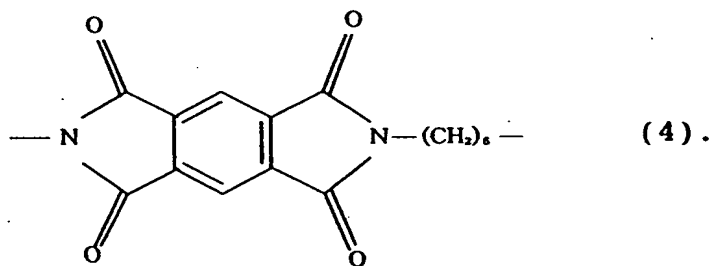
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illustrated in Figure 1 was prepared.

Two glass sheets each having a thickness of 1.1 mm and an areal size of ca. 20 mm x 20 mm were respectively coated with a 700 Å-thick ITO transparent conductor film by sputtering and further with a 0.7 wt. % solution in NMP (N-methylpyrrolidone) of a polyamic acid ("LP-64", made by Toray K.K.) having a recurring unit of formula (3) below by spin coating at 2000 rpm for 20 seconds:



followed by pre-drying at 80 °C for 5 min<sup>tes</sup> and baking at 200 °C for 60 min<sup>tes</sup> to form a 50 Å-thick film of polyimide represented by formula (4) below:



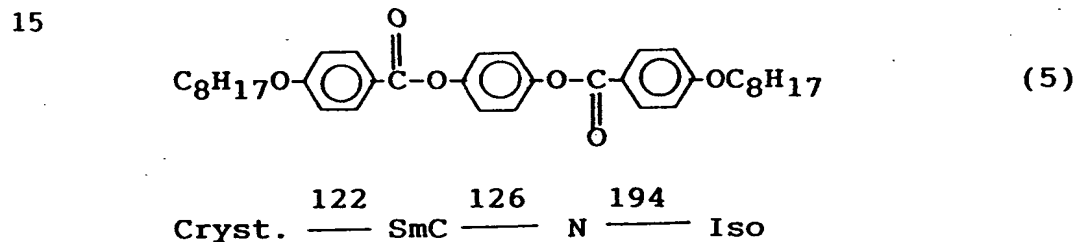
The polyimide film on each glass substrate was subjected ~~to~~ <sup>to</sup> four times of rubbing in one direction with a nylon-planted cloth at a roller feed speed of

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10 mm/sec and a roller revolution speed of 1000 rpm.

The two substrates treated in the above-described manner were applied to each other with 2.4  $\mu\text{m}$ -dia<sup>meter</sup> spacer beads disposed therebetween at a density of 200 beads/ $\text{mm}^2$  to form a blank cell having a cell gap of ca. 2.0  $\mu\text{m}$ . Then, a liquid crystal material having a structure of formula (5) and a phase transition series respectively shown below was injected into and sealed up within the cell at a nematic phase temperature (130  $^{\circ}\text{C}$ ) to form a sample cell, which was then cooled to room temperature at a rate of 1  $^{\circ}\text{C}/\text{min}$ , thereby crystallizing the liquid crystal material within<sup>the</sup> cell to form a crystalline film.



20 In the meantime, the alignment state of the liquid crystal material was observed and photographed through a polarizing microscope in a nematic phase (at 130  $^{\circ}\text{C}$ ), a smectic phase (at 123  $^{\circ}\text{C}$ ) and in a crystal phase (at 30  $^{\circ}\text{C}$ ). The thus-obtained

25 photographs (each at a magnification of 100) are respectively attached hereto as Figure 4 (nematic phase), Figure 5 (smectic phase) and Figure 6 (crystal

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phase). Further, a schematic view based on a sketch of the photograph of Figure 6 is attached hereto as Figure 9.

In the photograph of Figure 5, ~~there are~~  
5 ~~observed~~ two-direction domains peculiar to nematic-SmC transition and showing therein microdomains, due to slightly different layer directions as an indication of alignment uniformity therein. <sup>are observed</sup> In the photograph of Figure 6, as indicated in Figure 9 which is a  
10 schematic view based on a sketch thereof, a single crystal (s-crystal) region developed to an area of ca.  $0.5 \text{ mm}^2$ , which is sufficiently large for use as a single crystal functional film, is recognized in a left half, while a poly-crystal (p-crystal) region remains  
15 in a right half (i.e., crystal state of 50 % uniform alignment), thus showing that a single-crystalline film of the present invention was obtained. Further, it was confirmed by ~~the~~ observation through a polarizing microscope that the single crystal region  
20 (in the left of Figure 6) showed an optical uniformity, which was remarkably improved <sup>as compared with</sup> ~~than~~ in the SmC alignment (as shown in Figure 5).

(Example 1A)

In order to examine the crystalline order of  
25 the respective regions in the crystalline film obtained in Example 1, a cell for X-ray diffraction analysis was prepared in the same manner as in Example

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1 except for ~~using~~ 80  $\mu$ m-thick glass substrates. *were used*

The cell was set in a rotary pair cathode-type <sup>x</sup>X-ray diffraction apparatus ("RU-300", made by Rigaku Denki K.K.) having an organization as

5 illustrated in Figure 10 to obtain <sup>x</sup>X-ray diffraction patterns for the single crystal region (s-crystal) and poly-crystal region (p-crystal), respectively, in Figure 6 by a transmission method under the following conditions:

10 ~~at~~ <sup>x</sup>X-ray source: CuK $\alpha$ , 40 kV, 200 mA

Measurement conditions:

Effective line focus width = 0.05 mm

S1 = 0.15 mm, S2 = SS2 deg., S3 = 0.3 mm,

Ni filter

15 Focus-S1 = 95 mm, S1-Sample = 90 mm,

Sample-S2 = 143 mm, S2-S3 = 42 mm

Incident angle ( $\alpha$  deg.) = fixed

2 $\theta$ -scan 1 deg./min., Interval = 0.02 deg.

Angle resolution = ca. 3.5 rad (= ca. 0.2 deg.)

20 Sample cell <sup>x</sup>X-ray irradiation region

width = 0.3 mm, length = ca. 10 mm.

X-ray diffraction patterns obtained at a fixed incident angle  $\alpha$  = 95 deg *for* the single

crystal region (s-crystal) and poly-crystal region

25 (p-crystal) are shown in parallel in Figure 11. As

shown in Figure 11, two diffraction peaks each were

observed at  $2\theta$  = 8.5 deg  <sup>$\psi$</sup>  ( $d$  = 10.4 Å) and  $2\theta$  = 22.66

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deg<sup>ee</sup> ( $d = 3.92$  Å) for the single crystal region and  
at  $2\theta = 8.8$  deg<sup>ee</sup> ( $d = 10.0$  Å) and  $2\theta = 22.66$  deg<sup>ee</sup> ( $d =$   
4.04 Å) for the poly-crystal region.

Then, the X-ray incidence angle was varied at  
an increment of 5 deg<sup>ee</sup> in the range of 80 - 110 deg<sup>ee</sup>,  
and diffraction patterns obtained in the neighborhood  
of lower angle side peaks ( $\theta = 8.5$  deg<sup>ee</sup> and 8.8 deg<sup>ee</sup>)  
are inclusively shown in Figures 12 and 13 for the  
single crystal region and the poly-crystal region,  
respectively. According to Figure 12, the single  
crystal region exhibits a maximum peak intensity in  
the neighborhood of  $\alpha = 95$  deg<sup>ee</sup> and exhibits  
substantially no observable peak at  $\alpha = 80$  deg<sup>ee</sup> or 110  
deg<sup>ee</sup>. In contrast thereto, the poly-crystal region  
shown in Figure 13 generally exhibits a lower peak  
intensity than the single crystal region and  
substantially no dependence on the incidence angle  
change. These results indicate that the single  
crystal region exhibits an anisotropy for X-ray  
diffraction and a higher order of molecular  
crystalline alignment, whereas the polycrystal region  
exhibits substantially no anisotropy for X-ray  
diffraction.

(Example 2)

The cell prepared in Example 1 was again  
heated to a nematic phase temperature (130 °C) and  
thereafter started to be cooled at a rate of 1 °C/min,

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similarly <sup>to</sup> ~~as in~~ Example 1. In this <sup>e</sup> ~~Example~~, however, the cell was not continually cooled to room temperature as in Example 1, but held for 30 min<sup>tes</sup> at 118 °C, which was a crystal phase temperature lower than the SmC-<sup>c</sup>crystal transition temperature (= 122 °C) and thereafter cooled to room temperature. In the meantime, the alignment states were photographed through a polarizing microscope after holding for 1 min<sup>te</sup> and 10 min<sup>tes</sup>, respectively, at 118 °C. The thus-  
10 obtained photographs (each in a magnification of 100) are attached hereto as Figures 7 and 8, respectively.

With the lapse of time of the holding at 118 °C, the single crystal region was remarkably enlarged to ca. 80 % of the cell area (20 mm x 20 mm), as shown  
15 in Figure 7 after holding for 1 min<sup>tes</sup>, which already exceeded the single crystal region percentage (ca. 50 %) shown in Figure 6 (obtained by holding for ca. 1.5 hours at crystal region temperatures in Example 1). Then, as shown in Figure 8, the entire region (100 %)  
20 of the cell area was recognized to be single-crystallized. Incidentally, Figure 8 shows two types of regions of white and black. It was confirmed that these two types of regions were respectively single crystal regions (domains) which had different planar  
25 director directions of bar-shaped molecules and an identical thicknesswise alignment in both regions. The cell obtained after holding for 30 min<sup>tes</sup> at 118 °C

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and then cooled to room temperature, was found to retain the single-crystalline film state shown in Figure 8.

(Example 2A)

5 In order to confirm the single crystallinity of the film, an impact was applied to the cell of Example 2 after ~~the~~ cooling to room temperature. As a result, the crystalline film was cleaved presumably also <sup>due</sup> owing to a volume shrinkage during the  
10 cooling. Figure 14 is a polarizing microscope photograph (x150) showing the state. Figure 14 shows cleavage lines that extend in only three directions, and this indicates that the crystalline film had a high degree of long <sup>range</sup> distance order and was a single  
15 crystal film.

(Example 3)

The cell of Example 2A was again heated to a nematic phase temperature (130 °C) and thereafter cooled at a rate of 1 °C/min <sup>to</sup> to room temperature. In  
20 the meantime, the alignment states in the nematic phase (130 °C), smectic phase (123 °C) and crystal phase (30 °C) were observed through a polarizing microscope and found to be substantially similar to those shown in Figures 4, 5 and 6, respectively.

25 (Example 4)

The cell of Example 3 was now heated up to 118 °C at a rate of 1 °C/min <sup>to</sup> and was held at that

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temperature for 30 min<sup>tes</sup>, similarly <sup>to</sup> ~~as in~~ Example 2,  
followed by cooling to room temperature at a rate of 1  
°C/min. In the meantime, the alignment states were  
observed through a polarizing microscope after ~~the~~  
5 holding for 1 min<sup>tes</sup> and 10 min<sup>tes</sup> respectively, at 118 °C  
and were found to be substantially similar to those  
shown in Figures 7 and 8, respectively. It was also  
confirmed that the cell cooled to room temperature  
retained the single-crystalline film state formed  
10 after holding at 118 °C for 30 min<sup>tes</sup>. Thus, in the  
cell, a polycrystal region as shown in a right half of  
Figure 6 formed in the cell of Example 6 was  
transformed into a single crystal region as shown in a  
right half of Figure 8.  
15 (Example 5)

A blank cell was prepared in a similar manner  
as in Example 1 except for ~~using~~ a pair of 100 µm-  
thick polymer film substrates<sup>were used</sup>. A sample cell was  
prepared by injecting the liquid crystal material of  
20 ~~the~~ formula (5) in a nematic phase and cooling to room  
temperature in a similar manner as in Example 1.

Thereafter, the cell was again heated to 118  
°C and held at ~~the~~<sup>that</sup> temperature for 30 min<sup>tes</sup>. With the  
lapse of the holding time, the single crystal region  
25 was observed to be enlarged until 30 min<sup>tes</sup> thereafter,  
wherein a single-crystalline film state was enlarged  
over the entire cell area of 20 mm x 20 mm. After

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cooling to room temperature at a rate of 1 °C/min,<sup>g</sup>  
the film in the cell exhibited a single-crystalline  
film state including single crystals of 0.2 - 1 mm, as  
shown in <sup>the</sup> a polarizing microscopic photograph (x75) of  
5 Figure 15. The resultant flexible cell was applied in  
a curved form along a part of the circumference of a  
metal cylinder of 10 mm in diameter, and then again  
subjected to the above-mentioned cycle of heating to  
118 °C, holding at that temperature and cooling to  
10 room temperature, whereby the curved film in the  
flexible cell applied about the metal cylinder  
exhibited a single-crystalline film state  
substantially similar to the one shown in Figure 15.

As described above, according to the present  
15 invention, an organic single-crystalline film having a  
molecular alignment order provided through phase  
transition from a liquid crystal phase <sup>was achieved</sup> by using an  
appropriately selected liquid crystal material<sup>g</sup> and  
cooling and solidifying the liquid crystal material  
20 while utilizing a thickness regulating force exerted  
on the liquid crystal material from a pair of  
boundaries. Thus, it is possible to obtain a  
functional single-crystalline film which can be  
utilized in various devices.



→ ABSTRACT OF THE DISCLOSURE ←

An organic single-crystalline film <sup>useful</sup> ~~usable~~ as  
a functional film in various devices is produced by  
selecting a liquid crystal material having a good  
5 molecular alignment regularity, disposing the liquid  
crystal material between a pair of boundaries exerting  
a thickness regulating force and solidifying the  
liquid crystal material while imparting a molecular  
alignment order by phase transition from a liquid  
10 crystal phase. The liquid crystal material may  
preferably be a smectic liquid crystal material which  
provides a uniform molecular alignment inclusive of  
the direction of <sup>the</sup> molecular long axis in a smectic  
phase.

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